

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>06975-131001</b>	Application No. <b>09/666,140</b>
	Applicant <b>Joseph Barrett et al.</b>		
	Filing Date <b>September 20, 2000</b>	Group Art Unit <b>2151</b>	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
VN/	AA	2006/0136590	06/22/2006	Barrett et al.			
	AB	2004/0064515	04/01/2004	Hockey			
	AC	5,548,533	08/20/1996	Gao et al.			
	AD	5,619,648	04/08/1997	Canale et al.			
	AE	5,634,040	05/27/1997	Her et al.			
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	AG	5,742,587	04/21/1998	Zornig et al.			
	AH	5,805,810	09/08/1998	Maxwell			
	AI	5,862,325	01/19/1999	Reed et al.			
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	AY	6,330,590	12/11/2001	Cotten			
	AZ	6,351,794	02/26/2002	Spilo et al.			
VN/	AAA	6,507,866	01/14/2003	Barchi			

Examiner Signature <b>Van Kim Nguyen/</b>	Date Considered <b>04/26/2007</b>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
VN/	ABB	6,580,790	06/17/2003	Henry et al.	—	—	
	ACC	6,591,291	07/08/2003	Gabber et al.	—	—	
	ADD	6,591,301	07/08/2003	Li et al.	—	—	
	AEE	6,643,686	11/04/2003	Hall	—	—	
	AFF	6,654,787	11/25/2003	Aronson et al.	—	—	
	AGG	6,691,156	02/10/2004	Drummond et al.	—	—	
	AHH	6,701,522	03/02/2004	Rubin et al.	—	—	
	AII	6,748,422	06/08/2004	Morin et al.	—	—	
	AJJ	6,829,635	12/07/2004	Townshend	—	—	
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	ALL	6,868,498	03/15/2005	Katsikas	—	—	
	AMM	7,103,599	09/05/2006	Buford et al.	—	—	
	ANN	7,032,023	04/18/2006	Barrett et al.	—	—	
	AOO	6,351,764	02/26/2002	Voticky et al.	—	—	
	APP	6,072,942	06/06/2000	Stockwell et al.	—	—	
	AQQ	6,615,241	09/02/2003	Miller et al.	—	—	
VN/	ARR	6,643,685	11/04/2003	Millard	—	—	

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
VN/	ASS	WO 02/19661 A2	03/07/2002	WIPO	—	—	Yes	
VN/	ATT	EP 7203333 A2	07/03/1996	EPO	—	—	Yes	
VN/	AUU	WO 99/33188	07/01/1999	Australian	—	—	Yes	

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
VN/	AVV	Eric Allman, SENDMAIL™ Installation and Operation Guide Version 8.310 for Sendmail Version 8.10, Sendmail, Inc., (84 pages).

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
VN/	AWW	Allowing controlled SMTP relaying in Sendmail 8.9, (2 pages), <a href="http://web.archive.org/web/20000510213022/www.sendmail.org/tips/relaying.html">http://web.archive.org/web/20000510213022/www.sendmail.org/tips/relaying.html</a> .
	AXX	Anti-Spam Configuration Control, (5 pages), <a href="http://web.archive.org/web/20000520064211/www.sendmail.org/m4/anti-spam.html">http://web.archive.org/web/20000520064211/www.sendmail.org/m4/anti-spam.html</a> .
	AYY	Anti-Spam Provisions in Sendmail 8.8, (4 pages), <a href="http://web.archive.org/web/20000510040556/www.sendmail.org/antispam.html">http://web.archive.org/web/20000510040556/www.sendmail.org/antispam.html</a> .
	AZZ	Mark Durham, Spam Control in 8.10, (3 pages), <a href="http://web.archive.org/web/20000618042433/sendmail.net/?feed=interview810-5">http://web.archive.org/web/20000618042433/sendmail.net/?feed=interview810-5</a> .
	AAAA	Paul Boutin, RFC 2476: Message Submission Agent, (3 pages), <a href="http://web.archive.org/web/200010270602105/sendmail.net/?feed=rfc2476">http://web.archive.org/web/200010270602105/sendmail.net/?feed=rfc2476</a> .
	ABBB	Paul Boutin, RFC 2554: SMTP Authentication, (4 pages), <a href="http://web.archive.org/web/20001016141928/sendmail.net/?feed=rfc2554">http://web.archive.org/web/20001016141928/sendmail.net/?feed=rfc2554</a> .
	ACCC	Relaying Denied/Allowed (in sendmail 8.8/8.9), Last Update 2/11/2000, (2 pages), <a href="http://web.archive.org/web/20000418000700/www.sendmail.org/~ca/email/relayingdenied.....">http://web.archive.org/web/20000418000700/www.sendmail.org/~ca/email/relayingdenied.....</a> .
	ADDD	rfc2222, J. Myers, Simple Authentication and Security Layer (SASL), (16 pages), October 1997, The Internet Society (1997), <a href="http://web.archive.org/web/20001027153713/www.cis.ohio-state.edu/htbin/rfc/rfc2222.ht...">http://web.archive.org/web/20001027153713/www.cis.ohio-state.edu/htbin/rfc/rfc2222.ht...</a> .
	AEEE	rfc2554, J. Myers, SMTP Service Extension for Authentication, (11 pages), March 1999, The Internet Society (1999), <a href="http://web.archive.org/web/20001018181229/www.cis.ohio-state.edu/htbin/rfc/rfc2554.ht...">http://web.archive.org/web/20001018181229/www.cis.ohio-state.edu/htbin/rfc/rfc2554.ht...</a> .
	AFFF	Using SMTP AUTH in Sendmail 8.10, (2 pages), March 27, 2000, <a href="http://web.archive.org/web/20001016174022/sendmail.net/?feed=usingsmthpauth">http://web.archive.org/web/20001016174022/sendmail.net/?feed=usingsmthpauth</a> .
↓	AGGG	Godfrey, J., "Instant Spam: It's Tough to Swallow," Home Office Computing, [online] Vol. 16, No. 11, p. 24 [retrieved on 2004-12-29]. Retrieved from <URL: <a href="http://proquest.umi.com/pdqweb?index=21&amp;sid=2&amp;srchmode=1&amp;vinst=PROD&amp;fmt=4&amp;">http://proquest.umi.com/pdqweb?index=21&amp;sid=2&amp;srchmode=1&amp;vinst=PROD&amp;fmt=4&amp;</a>
VN/	AHHH	Melen et al., International Publication Number WO99/03238, January 21, 1999

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